

ALASKA STATE LEGISLATURE

LEGISLATIVE BUDGET AND AUDIT COMMITTEE

Division of Legislative Finance

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MEMORANDUM

DATE: October 8, 2013
TO: Legislative Budget and Audit Committee
FROM: David Teal, Director
SUBJECT: Preparation for the October 16, 2013 LB&A Meeting

OMB submitted the following FY14 RPLs for consideration at the October 16, 2013 Legislative Budget and Audit Committee meeting. These RPLs, along with Legislative Finance comments, are posted on our web site at <http://www.legfin.state.ak.us/>.

RPL#	Agency	Subject	Amount	Fund Source
18-4-0380 FY14 Operating	Environmental Conservation	Contaminated Sites Program	\$959,500	Federal (1002)
06-4-0241 FY14 Operating	Health and Social Services	Alaska Partnership Project	\$949,912	Federal (1002)

If you have any questions that you want an agency to address at the meeting, please call us so we can help ensure the agency has a response prepared.

**Department of Environmental Conservation
Spill Prevention and Response Results Delivery Unit
Contaminated Sites Program Component**

Subject of RPL: Contaminated Sites Program	ADN/RPL #: 18-4-0380
Amount requested: \$ 959,500	Appropriation Authority: Sec1,Ch 14, SLA 2013, pg 13, ln31
Funding source: \$959,500 Federal Receipts (1002) Operating	Statutory Authority: AS 46.03.020

PURPOSE

The Department of Environmental Conservation requests additional federal receipt authority for the Division of Spill Prevention and Response, Contaminated Sites Program component, to issue contracts for the cleanup and oversight of cleanup activities of military facilities, formerly used defense sites, and other federally contaminated sites. Remediating contaminated sites benefits Alaskans by reducing risks to human health and the environment.

Additional federal receipts are available in FY2014 from multiple federal agencies including Department of Defense, US Coast Guard, Federal Aviation Administration, and Bureau of Land Management. For example, the US Air Force plans to implement multiple performance-based remediation contracts totaling more than \$100 million at the former Galena Forward Operating Location and Eielson Air Force Base. A similarly large contract has already been awarded for Joint Base Elmendorf-Richardson. Likewise, the US Army is conducting sampling and analysis to assess vapor intrusion into new housing built on Ft. Wainwright. To ensure these agencies comply with state regulatory standards, the State must exercise regulatory oversight over such cleanup activities.

PREVIOUS LEGISLATIVE CONSIDERATION

The Contaminated Sites Program received \$350.0 additional federal receipt authority in FY2012 as a result of the LB&A meeting on December 5, 2011(RPL 18-2-0382) and subsequently requested \$550.0 additional federal authority in the FY2013 operating budget. Those receipts have been utilized for work on the Galena airport; military munitions sites in Cape Newenham, Cape Romanzof, and others; on-going cleanup activity at Eielson Air Force Base, Fort Greely, and Port Heiden; and reuse of important community sites such as the former Joseph Guy Community Center in Kwethluk.

TIMING ISSUES

The Contaminated Sites Program component's Conference Committee FY2014 budget totals \$8,474.1 with \$4,557.5 in federal authorization. The Spill Prevention and Response appropriation's Conference Committee FY2014 budget totals \$19,829.8 with \$4,907.2 in federal authorization. An additional \$24.2 of federal authority is authorized for the Alaska State Employees Association one-time payment for a total federal budget of \$4,931.4 for FY2014.

Increased federal funding was made available to the Contaminated Sites Program on July 1, 2013, but the program lacks sufficient federal receipt authority to accept these funds. Additional federal receipt authority is required in FY2014 to accomplish priority cleanup work of federal sites.

BUDGETARY ISSUES

Increased federal receipt authority will allow the program to advance its mission of protecting human health and the environment by managing the cleanup of contaminated soil and groundwater in Alaska. No general funds or response funds are required for this work. Corresponding federal receipt authority may be requested in the FY2015 budget. No new positions are required at this time.

***Legislative Fiscal Analyst Comment:* There are no technical issues with this RPL. These funds will be for contractual services to assist in the FY14 contaminated site clean up efforts. Federal funding has already been awarded and availability of funds is unaffected by the October 1, 2013 federal budget situation.**

**Division of Spill Prevention and Response
Federal Grant Report 2013-2014**

Name	Federal Agency	Federal ID #	FY13 Actuals	FY14 Awarded
Brownfield Program (State/Tribal Response Program)	Environmental Protection Agency	RP00126103	\$ 670,676.70	\$ 706,383.49
Superfund CORE Program	Environmental Protection Agency	VC97055509	\$ 117,249.74	\$ 102,974.43
Department of Defense	Department of Defense	W912DY-122-0201	\$ 2,564,297.15	\$ 3,507,233.65
Archtika Oversight Cooperative Agreement	Department of Energy	DE-FG01-061M00075	\$ 55,693.59	\$ 42,127.31
Bureau of Land Management Oversight	Department of Interior	L11PA00032	\$ 44,024.34	\$ 99,035.71
National Park Service Oversight	Department of Interior	P12PA90901	\$ 23,846.42	\$ 30,603.28
Federal Aviation Administration Cleanup Contaminated Sites	Department of Transportation	DTFPAAL-07-X-80000	\$ 100,515.58	\$ 209,888.70
Salt Chuck Mine	Environmental Protection Agency	V00168001	\$ 18,051.76	\$ 9,802.64
Leaking Underground Storage Tanks	Environmental Protection Agency	LS-99087915	\$ 378,021.09	\$ 379,620.02
Leaking Underground Storage Tanks Cost Recovery	Environmental Protection Agency	OCE-082	\$ 596,581.30	\$ 435,889.65
National Oceanic and Atmospheric Administration - Pribilof Island Restoration	Department of Commerce	NAA17200-12-02082	\$ 698.96	\$ -
U.S. Coast Guard Oversight - Juneau	Homeland Security	HSCG87-13-N-PXA503	\$ 15,920.80	\$ 56,379.26
U.S. Coast Guard Oversight - Kodiak	Homeland Security	HSCG50-13-PBCM21	\$ 10,194.52	\$ 58,088.85
Underground Storage Tanks	Environmental Protection Agency	L00087513	\$ 249,828.05	\$ 252,802.34

Grand Totals for Division

Division Federal Authorization

\$ 4,845,600.00	\$ 5,890,829.33
\$ 4,845,600.00	\$ 4,931,400.00
	\$ (959,429.33)

	Appropriation	General	Other		Appropriation	General	Other
	Items	Funds	Funds		Items	Funds	Funds
1				1			
2	Allocations			2	Allocations		
3	Prevention and Emergency	4,438,300		3	a. Conclusions reached in Phase I regarding use of existing samples to determine the		
4	Response			4	sufficiency of genetic diversity;		
5	Response Fund	1,339,600		5	b. Findings reached in Phase II regarding baseline data from coho sampling at spawning		
6	Administration			6	locations;		
7	Water	25,318,500	12,534,300	7	c. Results of laboratory analysis in Phase III of samples for estimating stock composition.		
8	It is the intent of the legislature that the Department of Environmental Conservation make		12,784,200	8	d. Results of the Mixed Stock Sampling in the two Offshore Test Fishery (OTF) projects,		
9	information regarding cruise ship mixing zones, including geographical areas, available to			9	drift gillnets and set gillnet commercial fisheries as well as from the weirs located		
10	the public on the agency's web site.			10	throughout the district.		
11	Water Quality	17,200,500		11	e. Results of retrospective genetic stock identification (GSI) analysis of archived sockeye		
12	Facility Construction	8,118,000		12	scales which are focused on reconstructing historical Susitna sockeye production.		
13		*****	*****	13	2) Report prior to January 1, 2015:		
14	***** Department of Fish and Game *****			14	a. Findings reached in the second year of Phase II of baseline data from coho sampling		
15	*****	*****	*****	15	from spawning locations;		
16	The amount appropriated for the Department of Fish and Game includes the unexpended and			16	b. Results of laboratory analysis in second year of Phase III of samples regarding		
17	unobligated balance on June 30, 2013 of receipts collected under the Department of Fish and			17	estimation of stock composition.		
18	Game's federal indirect cost plan for expenditures incurred by the Department of Fish and			18	c. Results of the Mixed Stock Sampling in the two OTF projects, drift gillnets and set		
19	Game.			19	gillnet commercial fisheries as well as from the weirs located throughout the district.		
20	Commercial Fisheries	73,716,500	54,185,200	20	3) Report prior to January 1, 2016:		
21	The amount appropriated for Commercial Fisheries includes the unexpended and		19,531,300	21	a. The laboratory analysis in the third year, together with a summary of all three years, of		
22	unobligated balance on June 30, 2013, of the Department of Fish and Game receipts from			22	Phase III of the project for estimating stock composition.		
23	commercial fisheries test fishing operations receipts under AS 16.05.050(a)(14), and from			23	b. Results of the Mixed Stock Sampling in the two OTF projects, drift gillnets and set		
24	commercial crew member licenses.			24	gillnet commercial fisheries as well as from the weirs located throughout the district.		
25	It is the intent of the legislature that the Department of Fish & Game shall provide reports			25	Southeast Region Fisheries	9,743,100	
26	to the Finance Committees that includes a detailed accounting of funds spent in the Cook			26	Management		
27	Inlet area on escapement monitoring, Genetics baseline data, mixed stock sampling, smolt			27	Central Region Fisheries	9,744,500	
28	out migration, migratory studies, and habitat improvements for Chinook, Coho, and			28	Management		
29	sockeye salmon. The report shall also include detailed information and data that the			29	AYK Region Fisheries	8,603,500	
30	funding produced. Additionally, the department shall indicate problems, potential			30	Management		
31	solutions, and existing funding for all projects in the region associated with managing the			31	Westward Region Fisheries	10,322,400	
32	salmon population.			32	Management		
33	1) Report prior to January 1, 2014:			33	Headquarters Fisheries	11,748,000	

CCS HB 65, Sec. 1

-14-

-15-

CCS HB 65, Sec. 1

**2013 Legislature - Operating Budget
Allocation Totals - Conf Com Structure**

Agency: Department of Environmental Conservation

Numbers and Language

**Appropriation: Spill Prevention and Response
Allocation: Contaminated Sites Program**

	[1] 13FmBud	[2] Adj Base	[3] Govmnt+	[4] Enacted	[5] B1115	[6] Other DP	[7] 14Budget	[7] - [1] 13FmBud to 14Budget	[7] - [2] Adj Base to 14Budget	[7] - [3] Govmnt+ to 14Budget		
Total	8,397.4	8,397.4	8,471.1	8,471.8	0.0	0.0	8,471.8	74.4	0.9 %	74.4	0.9 %	0.7
Objects of Expenditure												
Personal Services	6,525.2	6,525.2	6,598.9	6,599.6	0.0	0.0	6,599.6	74.4	1.1 %	74.4	1.1 %	0.7
Travel	266.5	266.5	266.5	266.5	0.0	0.0	266.5	0.0		0.0		0.0
Services	1,550.9	1,550.9	1,550.9	1,550.9	0.0	0.0	1,550.9	0.0		0.0		0.0
Commodities	48.8	48.8	48.8	48.8	0.0	0.0	48.8	0.0		0.0		0.0
Capital Outlay	6.0	6.0	6.0	6.0	0.0	0.0	6.0	0.0		0.0		0.0
Grants, Benefits	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		0.0		0.0
Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		0.0		0.0
Funding Sources												
1002 Fed Rcpts (Fed)	4,521.8	4,521.8	4,557.5	4,557.5	0.0	0.0	4,557.5	35.7	0.8 %	35.7	0.8 %	0.0
1007 I/A Rcpts (Other)	92.3	92.3	93.0	93.0	0.0	0.0	93.0	0.7	0.8 %	0.7	0.8 %	0.0
1052 Oil/Haz Fed (DGF)	3,783.3	3,783.3	3,820.6	3,821.3	0.0	0.0	3,821.3	38.0	1.0 %	38.0	1.0 %	0.7
Positions												
Perm Full Time	63	63	63	63	0	0	63	0		0		0
Perm Part Time	0	0	0	0	0	0	0	0		0		0
Temporary	0	0	0	0	0	0	0	0		0		0

**Department of Health and Social Services
Division of Behavioral Health
Behavioral Health Administration Component and
Services for Seriously Emotionally Disturbed Youth Component**

Subject of RPL: Alaska Partnership Project	ADN/RPL #: 06-4-0241
Amount requested: \$949,912	Appropriation Authority: Sec 1, Ch14, SLA2013, pg 18, ln29 Sec 1, Ch 14, SLA 2013, pg 19,ln9
Funding source: \$949,912 Federal Receipts (1002), Operating	Statutory Authority: AS 44.29.020(7), AS 47.30

PURPOSE

The Department of Health and Social Services, Division of Behavioral Health, requests \$949,912 in federal authorization for a multi-year grant with the Substance Abuse and Mental Health Services Administration Center for Substance Abuse Treatment (SAMHSA). Funds will be used to expand use of two evidence-supported practices: Parenting with Love and Limits (PLL), and the Transition to Independence Process (TIP), and will refine the practices for village settings and Alaska native populations to address disparities. The project will deliver high quality treatment, family therapy, and in-home services to adolescents and their families and engage youth of transition age in treatment and support services in Alaska.

Legislative Fiscal Analyst Comment: About \$950,000 of federal funds per year (with no match requirement) will be available for four fiscal years (FY14-FY17) to start the “Alaska Partnership Project.” The Committee may wish to ask what the department plans to do if the funding is not available in FY18 and beyond and how much funding is currently in the budget for the Parenting with Love and Limits (PLL), and the Transition to Independence Process (TIP) programs.

The priority population will be adolescents and transition age youth aged 12 to 24 years old who experience substance abuse and serious emotional and behavioral disorders and their families. In addition, the project will target rural and Alaska Native youth and youth who are at risk of moving into treatment that is more restrictive and/or out of their homes and communities due to complex and co-occurring diagnosis and behaviors. The Parenting with Love and Limits and Transition to Independence Process programs result in outcomes including abstinence from substance abuse, improved mental health, decreased involvement with juvenile justice or running from home, increased educational and vocational attainment, and expanded social supports. Family outcomes include addressing parenting skill deficits, creating a sense of community, and lowering parental resistance to using outside expertise and services.

PREVIOUS LEGISLATIVE CONSIDERATION

There is no previous legislative consideration for this request.

TIMING ISSUES

The Behavioral Health Administration component’s Conference Committee FY2014 budget totals \$11,738.0 with \$2,907.3 in federal authorization. The Services for Severely Emotionally Disturbed Youth component’s Conference Committee FY2014 budget totals \$15,806.7 with no federal authorization.

Funding for this program was unanticipated. The Transition to Independence Process Notice of Award was issued August 26, 2013, and received by the Division of Behavioral Health on September 4, 2013. The budgeted federal authorization has been sufficient in past years. However, this new multi-year federal award cannot be absorbed within existing authorization. Without increased federal authorization, the division will be unable to utilize the federal grant funds awarded. The Project Period for this grant is 9/1/2013 thru 8/31/2017. The Budget Period for the first year of funding is 9/1/2013 thru 8/31/2014.

BUDGETARY ISSUES

This funding fits into the Behavioral Health goal to enhance the quality of life for Alaskans experiencing a serious emotional disturbance, a serious mental illness, or a substance use disorder. The Department of Health and Social Services has contracts with Dr. Scott Sells for the Parenting with Love and Limits program and with STARS Behavioral Health Group for the Transition to Independence Process program. Grant-funded pilot projects have already been established. The Alaska “Partnership” project will assist the Department of Health and Social Services to leverage these on-going pilot projects and reach the critical mass to develop a system that can support long-term sustainability. This project will create an urban training hub to implement the services and to deliver and coordinate training using telemedicine and distance technologies. It will also support a rural provider to establish a village hub with scattered remote sites to deliver services.

The proposed budget includes the following funding breakdown by:

Travel	\$ 45,912 (Behavioral Health Administration Component)
Contractual	\$220,000 (Services for Severely Emotionally Disturbed Youth Component)
<u>Grants</u>	<u>\$684,000</u> (Services for Severely Emotionally Disturbed Youth Component)
Total	\$949,912

Legislative Fiscal Analyst Comment: Typically, an RPL addresses only one allocation. Rather than asking for one RPL for each allocation, Legislative Finance will create two transactions upon committee approval of this RPL—one for \$904.0 in the Severely and Emotionally Disturbed Youth allocation and one for \$45.9 in the Behavioral Health Administration allocation.

The department has not spent any of the federal award. The department is considering an increase in federal receipt authorization for the FY2015 budget request.

Approval to receive this grant award will not impact future general fund requirements.

A copy of the Notice of Award document is attached.

OMB Approved: _____ Date: _____



State Adolescent Treatment
 Department of Health and Human Services
 Substance Abuse and Mental Health Services Administration
 Center for Substance Abuse Treatment

Notice of Award

Issue Date: 08/26/2013

RECEIVED

SEP - 4 2013

DBH

Grant Number: 1U79TI025314-01

Program Director:
 Stacy Toner

Project Title: AK Partnership to Improve Outcomes for Adolescents & Families

Grantee Address	Business Address
ALASKA STATE DEPARTMENT OF HLTH-SOC SVCS Health Program Manager IV, BTKH Coordinator P.O. Box 110601 Juneau, AK 998110601	Alaska Division of Behavioral Health Division Director PO Box 110620 350 Main Street, Room 214 Juneau, AK 998110620

Budget Period: 09/01/2013 – 08/31/2014

Project Period: 09/01/2013 – 08/31/2017

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$949,912 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to ALASKA STATE DEPARTMENT OF HLTH-SOC SVCS in support of the above referenced project. This award is pursuant to the authority of Section 509 of the PHS Act, as amended and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at www.samhsa.gov (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,

Eileen Bermudez
 Grants Management Officer
 Division of Grants Management

See additional information below

SECTION I – AWARD DATA – 1U79TI025314-01**Award Calculation (U.S. Dollars)**

Consortium/Contractual Cost	\$904,000
Travel Costs	\$45,912
Direct Cost	\$949,912
Approved Budget	\$949,912
Federal Share	\$949,912
Cumulative Prior Awards for this Budget Period	\$0
AMOUNT OF THIS ACTION (FEDERAL SHARE)	\$949,912

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
1	\$949,912
2	\$950,000
3	\$950,000
4	\$950,000

* Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

Fiscal Information:

CFDA Number: 93.243
EIN: 1926001185A7
Document Number: 13TI25314A
Fiscal Year: 2013

IC	CAN	Amount
TI	C96T508	\$949,912

TI Administrative Data:

PCC: SAT-ED / OC: 4145

SECTION II – PAYMENT/HOTLINE INFORMATION – 1U79TI025314-01

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20862, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

SECTION III – TERMS AND CONDITIONS – 1U79TI025314-01

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.

- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:
Additional Costs

SECTION IV – TI Special Terms and Condition – 1U79TI025314-01

REMARKS:

This award reflects approval of the revised budget submitted on August 5, 2013 as part of the application.

This award reflects acceptance of the additional documentation submitted on August 5, 2013 as part of the application.

This cooperative agreement funds and sets out the terms and conditions governing a collaborative effort between the Alaska State Department of Health & Social Services and the Center for Substance Abuse Treatment (CSAT), Substance Abuse and Mental Health Services Administration (SAMHSA).

While the responsibility for conducting these activities lies primarily with Alaska State Department of Health & Social Services, the Center for Substance Abuse Treatment (CSAT), Substance Abuse and Mental Health Services Administration (SAMHSA), through its designated representatives shall provide continuing technical assistance, consultation, and coordination in the conduct of the project during the period of this agreement. In addition to these terms and conditions and the applicable statutes and regulations, grantees are bound by the HHS Grants Policy Statement and all requirements in the Request for Applications (RFA) document.

Refer to the following SAMHSA website for Standard Terms of Award:
<http://www.samhsa.gov/Grants/management.aspx#noa> (COOPERATIVE AGREEMENT)

SPECIAL CONDITIONS of AWARD:

By November 1, 2013, you must submit:

1. A behavioral health disparities impact statement that includes all sub-populations in the proposed geographic region.

Disparities impact statements must outline the population/s of focus that will be involved in the project and the unduplicated number of individuals who are expected to receive services. The disparities impact statements should be consistent with information in your application regarding access, service use and outcomes for the program. The disparities impact statement may be developed as a narrative or table (see attached example).

2. A plan of how you will use your data for outcomes regarding race, ethnicity and LGBT status, including processes or programmatic adjustments to address identified issues, across the following domains:

- a. Data collection activities.
- b. Program services and activities development and implementation.
- c. Data reporting, including access, use and outcomes measures.

The grantee may refer to the HHS published final standards for data collection on race, ethnicity, sex, primary language and disability status, as required by Section 4302 of the Affordable Care Act in October 2011,
<http://www.minorityhealth.hhs.gov/templates/browse.aspx?lvl=2&lvlid=208>.

3. A plan for the development and implementation of policies and procedures to ensure adherence to the Enhanced Culturally and Linguistically Appropriate Services (CLAS) Standards and the provision of effective care and services that are responsive to:

- a. Diverse cultural health beliefs and practices;
- b. Preferred languages;
- c. Health literacy and other communication needs of all sub-populations within the proposed geographic region.

By December 1, 2013, you must submit:

1. A detailed budget and budget justification for the following contracts:
 - a. Provider agency for the rural village hub, \$265,000
 - b. Provider agency for the urban village hub, \$419,000

Failure to comply with the above stated Special Condition(s) may result in your grant being placed on high risk, suspension and/or termination or denial of funding in the future.

SPECIAL TERMS OF AWARD:

The Division of Grants Management, SAMHSA is currently conducting a review of your organization's financial management system. If the review discloses material weaknesses or other financial management concerns, grant funding may be restricted in accordance with 45 CFR 74.14 or 45 CFR 92.12, as applicable. The restriction will affect the draw-down of funds from your organization's Payment Management System; all draw-downs will require the prior approval of the applicable Grants Management Specialist and Government Project Officer.

STANDARD TERMS OF AWARD:

Refer to the following SAMHSA website for Standard Terms of Award:
<http://www.samhsa.gov/Grants/management.aspx#noa> (NEW)

Updated Key Staff change

The recipient is required to notify the GPO in writing if the Project Director (PD) or key personnel specifically named in the NoA will withdraw from the project entirely, be absent from the project during any continuous period of 3 months or more, or reduce time devoted to the project by 25 percent or more from the level that was approved at the time of award (for example, a proposed change from 40 percent effort to 30 percent or less effort). SAMHSA must approve any alternate arrangement proposed by the recipient, including any replacement of the PD or key personnel named in the NoA.

The request for approval of a substitute PD/key person should include a justification for the change, the biographical sketch of the individual proposed, other sources of support (if applicable), and any budget changes resulting from the proposed change. If the arrangements proposed by the recipient, including the qualifications of any proposed replacement, are not acceptable to SAMHSA, the grant may be suspended or terminated. If the recipient wants to terminate the project because it cannot make suitable alternate arrangements, it must notify the GMO, in writing, of its wish to terminate, and the GMO will forward closeout instructions.

Key staff (or key staff positions, if staff has not been selected) are listed below:

Stacy Toner, Project Director @ 10% level of effort

All changes in key staff including level of effort must be sent electronically to the Government Project Officer including a biographical sketch and other documentation and information as stated above who will make a recommendation for approval or disapproval to the assigned Grants Management Specialist. Only the Grants Management Officer, SAMHSA may approve Key Staff Changes.

REPORTING REQUIREMENTS:

Submission of a Programmatic Semi-Annual Report is due no later than the dates as follows:

**1st Report - April 1, 2014
2nd Report - October 1, 2014**

CONTACTS:

**LaMar Henderson, Program Official
Phone: (240) 276-0435 Email: Lamar.Henderson@samhsa.hhs.gov**

**Alania Foster, Grants Specialist
Phone: (240) 276-1409 Email: Alania.Foster@samhsa.hhs.gov**

2013 Legislature - Operating Budget Allocation Totals - Conf Com Structure

Numbers and Language

Agency: Department of Health and Social Services

Appropriation: Behavioral Health Allocation: Behavioral Health Administration

	[1] 13FnlBud	[2] Adj Base	[3] GovAmd+	[4] Enacted	[5] Bills	[6] OtherOp	[7] 14Budget	[7] - [1] 13FnlBud to 14Budget	[7] - [2] Adj Base to 14Budget	[7] - [3] GovAmd+ to 14Budget
Total	11,215.4	10,720.5	11,769.0	11,738.0	0.0	0.0	11,738.0	522.6	1,017.5	-31.0
Objects of Expenditure										
Personal Services	7,537.4	7,308.5	7,828.7	7,842.7	0.0	0.0	7,842.7	305.3	534.2	14.0
Travel	624.4	624.4	678.6	678.6	0.0	0.0	678.6	54.2	54.2	0.0
Services	2,871.6	2,605.6	3,053.1	3,008.1	0.0	0.0	3,008.1	136.5	402.5	-45.0
Commodities	182.0	182.0	208.6	208.6	0.0	0.0	208.6	26.6	26.6	0.0
Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Grants, Benefits	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Funding Sources										
1002 Fed Rcpts (Fed)	2,235.7	2,235.9	2,902.9	2,905.3	0.0	0.0	2,905.3	669.6	669.4	2.4
1003 G/F Match (UGF)	974.3	974.3	985.1	985.1	0.0	0.0	985.1	10.8	10.8	0.0
1004 Gen Fund (UGF)	697.8	698.0	704.2	704.6	0.0	0.0	704.6	6.8	6.6	0.4
1005 GF/Prgm (DGF)	20.4	20.4	20.4	20.4	0.0	0.0	20.4	0.0	0.0	0.0
1007 I/A Rcpts (Other)	57.0	57.0	57.0	57.0	0.0	0.0	57.0	0.0	0.0	0.0
1013 A/Drg RLF (Fed)	2.0	2.0	2.0	2.0	0.0	0.0	2.0	0.0	0.0	0.0
1037 GF/MH (UGF)	5,219.5	5,176.2	5,307.9	5,272.6	0.0	0.0	5,272.6	53.1	96.4	-35.3
1061 CIP Rcpts (Other)	352.6	352.6	352.6	352.6	0.0	0.0	352.6	0.0	0.0	0.0
1092 MHTAAR (Other)	331.0	0.0	227.1	227.1	0.0	0.0	227.1	+103.9	227.1	0.0
1106 Stat Desig (Other)	153.5	32.5	32.5	32.5	0.0	0.0	32.5	+121.0	0.0	0.0
1168 Tob ED/ICES (DGF)	940.2	940.2	945.9	947.4	0.0	0.0	947.4	7.2	7.2	1.5
1180 AYD T&P Fd (DGF)	231.4	231.4	231.4	231.4	0.0	0.0	231.4	0.0	0.0	0.0
Positions										
Perm Full Time	66	66	66	66	0	0	66	0	0	0
Perm Part Time	0	0	0	0	0	0	0	0	0	0
Temporary	19	19	19	19	0	0	19	0	0	0

**2013 Legislature - Operating Budget
Allocation Totals - Conf Com Structure**

Numbers and Language

Agency: Department of Health and Social Services

**Appropriation: Behavioral Health
Allocation: Behavioral Health Administration**

	[1] 13Fn)Bud	[2] Adj Base	[3] GovAmnt+	[4] Enacted	[5] Bills	[6] OtherOp	[7] 14Budget	[7] - [1] 13Fn)Bud to 14Budget	[7] - [2] Adj Base to 14Budget	[7] - [3] GovAmnt+ to 14Budget
Funding Summary										
Unrestricted General (UGF)	6,891.6	6,848.5	6,997.2	6,952.3	0.0	0.0	6,962.3	70.7	113.8	-34.9
Designated General (DGF)	1,192.0	1,192.0	1,197.7	1,199.2	0.0	0.0	1,199.2	7.2	7.2	1.5
Other State Funds (Other)	894.1	442.1	669.2	669.2	0.0	0.0	669.2	-224.9	227.1	0.0
Federal Receipts (Fed)	2,237.7	2,237.9	2,904.9	2,907.3	0.0	0.0	2,907.3	669.6	669.4	2.4

**2013 Legislature - Operating Budget
Allocation Totals - Conf Com Structure**

Numbers and Language

Agency: Department of Health and Social Services

**Appropriation: Behavioral Health
Allocation: Services for Severely Emotionally Disturbed Youth**

	[1] 13FnIBud	[2] Adj Base	[3] GovAmd+	[4] Enacted	[5] Bills	[6] OtherOp	[7] 14Budget	[7] - [1] 13FnIBud to 14Budget	[7] - [2] Adj Base to 14Budget	[7] - [3] GovAmd+ to 14Budget
Total	16,571.7	14,936.7	15,806.7	15,806.7	0.0	0.0	15,806.7	-765.0	870.0	0.0
Objects of Expenditure										
Personal Services	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Travel	67.0	67.0	67.0	67.0	0.0	0.0	67.0	0.0	0.0	0.0
Services	1,125.6	625.6	995.6	995.6	0.0	0.0	995.6	-130.0	370.0	0.0
Commodities	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Grants, Benefits	15,379.1	14,244.1	14,744.1	14,744.1	0.0	0.0	14,744.1	-635.0	500.0	0.0
Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Funding Sources										
1004 Gen Fund (UGF)	897.3	897.3	897.3	897.3	0.0	0.0	897.3	0.0	0.0	0.0
1007 I/A Rcpts (Other)	116.8	116.8	116.8	116.8	0.0	0.0	116.8	0.0	0.0	0.0
1037 GF/MH (UGF)	14,282.6	13,922.6	14,192.6	14,192.6	0.0	0.0	14,192.6	-90.0	270.0	0.0
1082 MHTAAR (Other)	1,275.0	0.0	600.0	600.0	0.0	0.0	600.0	-675.0	600.0	0.0
Positions										
Perm Full Time	0	0	0	0	0	0	0	0	0	0
Perm Part Time	0	0	0	0	0	0	0	0	0	0
Temporary	0	0	0	0	0	0	0	0	0	0
Funding Summary										
Unrestricted General (UGF)	15,179.9	14,819.9	15,089.9	15,089.9	0.0	0.0	15,089.9	-90.0	270.0	0.0
Other State Funds (Other)	1,391.8	116.8	716.8	716.8	0.0	0.0	716.8	-675.0	600.0	0.0